

APPENDIX C

**DECLARATION FOR UTILITY
OR DESIGN PATENT APPLICATION**

Please type a plus sign (+) inside this box → ☐PTO/SB/01 (8-96)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**☐ Declaration Submitted with Initial Filing OR ☐ Declaration Submitted after Initial Filing

Attorney Docket Number 017795-1-PC

First Named Inventor Dr. Charles B. Mallon

COMPLETE IF KNOWN

Application Number

Filing Date

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Production Of Polysaccharide Ethers

(Title of the Invention)

the specification of which

☐ is attached hereto
OR☒ was filed on (MM/DD/YYYY)

08.04.98

as United States Application Number or PCT International

Application Number

60/095,263

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119 (a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed | Certified Copy Attached? | |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
| | | | | YES | NO |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
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| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| | | | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority sheet attached hereto. |
|-----------------------|--------------------------|--|
| 60/095,263 | 08.04.98 | |

(Page 1 of 5)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231.

(January 1997)

US

Annex US.III, page 2

PCT Applicant's Guide – Volume II – National Chapter – US

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DECLARATION

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application Number | PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--------------------------------|-------------------|---------------------------------|--------------------------------------|
| | | | |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

| Name | Registration Number | Name | Registration Number |
|---------------------------------------|---------------------|------|---------------------|
| See Attached Power of Attorney (Copy) | | | |

☐ Additional registered practitioner(s) named on a supplemental sheet attached hereto.

Direct all correspondence to:

| | | | |
|---------|---|-----------|----------------|
| Name | Karen Johnson Wiggins | | |
| Address | Union Carbide Chemicals & Plastics Technology Corporation | | |
| Address | 39 Old Ridgebury Road | | |
| City | Danbury | State | CT |
| Country | U.S. | Telephone | (203) 794-6180 |
| | | Fax | (203) 794-7527 |
| | | ZIP | 06817-0001 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

| | | | | | | | |
|----------------------|-------------------------------|----------------|----|-------------|--------|-----------------|--|
| Given Name | Charles | Middle Initial | B. | Family Name | Mallon | Suffix e.g. Jr. | |
| Inventor's Signature | <i>Charles Bernard Mallon</i> | | | | Date | June 8, 1999 | |

| | | | | | | | |
|---------------------|---------------------|-------|----|---------|-------|-------------|------|
| Residence: City | Belle Mead | State | NJ | Country | U.S. | Citizenship | U.S. |
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| Post Office Address | | | | | | | |
| City | Belle Mead | State | NJ | Zip | 08502 | Country | U.S. |

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

Please type a plus sign (+) inside this box → ☐

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| DECLARATION | | | | | | | | | | ADDITIONAL INVENTOR(S) Supplemental Sheet | | | | | | | | | | | |
|---|--|--------------------------|--|--|--|----------------|--|----|--|---|--|---------|--|--|--|--------------------|--|--------|--|--|--|
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | | | | | | | | |
| Given Name | | John | | | | Middle Initial | | S. | | Family Name | | Vames | | | | Suffix e.g. Jr. | | | | | |
| Inventor's Signature | | <i>John Spero Vames</i> | | | | | | | | Date | | 6/8/99 | | | | | | | | | |
| Residence: City | | Highland Park | | | | State | | NJ | | Country | | U.S. | | | | Citizenship | | U.S. | | | |
| Post Office Address | | 10 Lawrence Avenue | | | | | | | | | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | | | | | | | | | | | |
| City | | Highland Park | | | | State | | NJ | | Zip | | 08904 | | | | Country | | U.S. | | | |
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | | | | | | | | |
| Given Name | | John | | | | Middle Initial | | I. | | Family Name | | Sarlis | | | | Suffix e.g. Jr. | | | | | |
| Inventor's Signature | | <i>[Signature]</i> | | | | | | | | Date | | 6/22/99 | | | | | | | | | |
| Residence: City | | Laval, Quebec | | | | State | | | | Country | | Canada | | | | Citizenship | | CA | | | |
| Post Office Address | | 94 Jubinville | | | | | | | | | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | | | | | | | | | | | |
| City | | Laval, Quebec | | | | State | | | | Zip | | H7G 3E1 | | | | Country | | Canada | | | |
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | | | | | | | | |
| Given Name | | Benito | | | | Middle Initial | | | | Family Name | | See | | | | Suffix e.g. Jr. | | | | | |
| Inventor's Signature | | <i>Benito J See</i> | | | | | | | | Date | | 6/8/99 | | | | | | | | | |
| Residence: City | | Belle Mead | | | | State | | NJ | | Country | | U.S. | | | | Citizenship | | U.S. | | | |
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| Post Office Address | | | | | | | | | | | | | | | | | | | | | |
| City | | Belle Mead | | | | State | | NJ | | Zip | | 08502 | | | | Country | | U.S. | | | |
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | | | | | | | | | | | |
| Given Name | | David | | | | Middle Initial | | M. | | Family Name | | Trampe | | | | Suffix e.g. Jr. | | | | | |
| Inventor's Signature | | <i>David Mark Trampe</i> | | | | | | | | Date | | 6/8/99 | | | | | | | | | |
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| Post Office Address | | | | | | | | | | | | | | | | | | | | | |
| City | | Somerville | | | | State | | NJ | | Zip | | 08876 | | | | Country | | U.S. | | | |
| <input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto | | | | | | | | | | | | | | | | | | | | | |

Please type a plus sign (+) inside this box → ☐

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| DECLARATION | | | | | | | | | | ADDITIONAL INVENTOR(S) Supplemental Sheet | |
|--|--------------------------|--|--|----------------|----|-------------|---------|--|-------------|---|--|
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name | Rathin | | | Middle Initial | | Family Name | Datta | | Suffix | | |
| Inventor's Signature | <i>Rathin Datta</i> | | | | | Date | 6/29/99 | | | | |
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| Post Office Address | | | | | | | | | | | |
| City | Chicago | | | State | IL | Zip | 60657 | | Country | US | |
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name | | | | Middle Initial | | Family Name | | | Suffix | | |
| Inventor's Signature | | | | | | Date | | | | | |
| Residence: City | | | | State | | Country | | | Citizenship | | |
| Post Office Address | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | |
| City | | | | State | | Zip | | | Country | | |
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name | | | | Middle Initial | | Family Name | | | Suffix | | |
| Inventor's Signature | | | | | | Date | | | | | |
| Residence: City | | | | State | | Country | | | Citizenship | | |
| Post Office Address | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | |
| City | | | | State | | Zip | | | Country | | |
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name | | | | Middle Initial | | Family Name | | | Suffix | | |
| Inventor's Signature | | | | | | Date | | | | | |
| Residence: City | | | | State | | Country | | | Citizenship | | |
| Post Office Address | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | |
| City | | | | State | | Zip | | | Country | | |
| Name of Additional Joint Inventor, if any: | | | | | | | | | | <input type="checkbox"/> A petition has been filed for this unsigned inventor | |
| Given Name | | | | Middle Initial | | Family Name | | | Suffix | | |
| Inventor's Signature | | | | | | Date | | | | | |
| Residence: City | | | | State | | Country | | | Citizenship | | |
| Post Office Address | | | | | | | | | | | |
| Post Office Address | | | | | | | | | | | |
| City | | | | State | | Zip | | | Country | | |

☐ Additional inventors are being named on supplemental sheet(s) attached hereto

POWER OF ATTORNEY

TO WHOM IT MAY CONCERN:

UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION, a corporation of the State of Delaware, United States of America, having an office at 39 Old Ridgebury Road, Danbury, Connecticut 06817-0001, hereby grants a power of attorney to each of Norman L. Balmer, Paul W. Leuzzi, Gus T. Hampilos, Karen Johnson Wiggins, and Stanley J. Paccione of 39 Old Ridgebury Road, Danbury, Connecticut 06817-0001, to sign on its behalf all papers necessary for effecting the filing and maintenance of patents and applications therefor in all countries throughout the world, including appointments of agents and appointments of representative for service, and for accepting on behalf of Union Carbide Chemicals & Plastics Technology Corporation assignment of inventions, patent applications, patents, industrial design applications, industrial design registrations, priority rights and rights to apply for such patents and registrations in all countries throughout the world.

Dated at Danbury, Connecticut, U.S.A. this 25th day of March, 1999.

UNION CARBIDE CHEMICALS & PLASTICS
TECHNOLOGY CORPORATION

By: *John W. Fitzpatrick*
John W. Fitzpatrick
Assistant Treasurer

I, Ellen M. Molloy, Assistant Secretary of Union Carbide Chemicals & Plastics Technology Corporation, a corporation organized and existing under the laws of the State of Delaware, United States of America, do hereby certify that Mr. John W. Fitzpatrick, who executed the foregoing document, is an Assistant Treasurer of Union Carbide Chemicals & Plastics Technology Corporation, and that the said Mr. John W. Fitzpatrick has authority as an officer of the said Corporation, under resolutions duly adopted by the Board of Directors on December 13, 1988, that are still in full force and effect, to make, sign and execute the foregoing Power of Attorney on behalf of said Corporation.

Dated at Danbury, Connecticut, U.S.A. this 25th day of March, 1999.

By: *E. M. Molloy*
Ellen M. Molloy
Assistant Secretary

